

May 8, 2007

Via Express Mail (EV 725834000 US)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Elie H. Gendloff, Ph.D., Esq. Direct 212 336 8108 E-mail egendlof@arelaw.com

| "Express Mail" r | nailing label | No. | EV 725834000 US | | |
|--|---------------|----------|-----------------|--|--|
| Date of Deposit | : Ma | ay 8, 20 | 007 | | |
| I hereby certify that this paper or fee is being | | | | | |
| deposited with the United States Postal Service "Express | | | | | |
| Mail" service under 37 CFR 1.10 on the date indicated | | | | | |
| above and is addressed to the Commissioner for Patents, | | | | | |
| P.O. Box 1450, Alexandria, VA 22313-1450. | | | | | |
| Name: | Elie H. Geno | lloff | | | |
| Signature: | 11 | · | MI | | |
| Digitator Ci | | | | | |

Re: U.S. Utility Patent Application No. 10/501,628

Title:

MUTATIONS CAUSED BY ACTIVATION-INDUCED

CYTIDINE DEAMINASE

Inventors: Alberto Martin and Matthew D. Scharff

Our File: 96700/905

Dear Sir:

Pursuant to 37 C.F.R. §§§ 1.56, 1.97 and 1.98, enclosed please find the following documents in connection with the above-identified application in the names of Alberto Martin and Matthew D. Scharff, entitled MUTATIONS CAUSED BY ACTIVATION-INDUCED CYTIDINE DEAMINASE, comprising the following:

- a "Supplemental Information Disclosure Statement," (2 pages);
- 2. an "Information Disclosure Statement By Applicant" Form PTO/SB/08B (1 page) with three non-patent references cited therein and attached thereto; and
- a return receipt postcard.

Commissioner for Patents Alexandria, VA 22313-1450 Mail Stop Amendment Application Serial No. 10/501,628

Please acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning same.

Respectfully submitted,

Amster Rothstein & Ebenstein LLP Attorneys for Applicants 90 Park Avenue New York, NY 10016 212 336 8000

Mi My

Dated: New York, New York

May 8, 2007

Elie Gendloff

Registration No. 44,704



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. :

10/501,628

Confirmation No. 2223

Applicants

Alberto Martin and Matthew D. Scharff

Filed

November 22, 2004

Art Unit

1636

Examiner

Kimberly A. Makar, Ph.D.

Docket No.

96700/905

Customer No.

1912

| "Express Mail" mailing label | No. | EV 725834000 US |
|------------------------------|------------|-----------------------|
| Date of Deposit: | May 8, 20 | 007 |
| I hereby certify that this | naner or i | fee is heing denosite |

with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

Signature:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§§ 1.56, 1.97, and 1.98, applicants enclose herewith Form PTO/SB/08B, along with the three publications cited therein, representing the second supplemental Information Disclosure Statement submitted in this patent application. Please enter the enclosed supplemental Information Disclosure Statement.

Application No. 10/501,628 Supplemental Information Disclosure Statement filed May 8, 2007

It is believed that no fee is required with this submission. However, if there are any fees required to maintain pendency of this application, authorization is hereby given to charge that fee to Deposit Account No. 01-1785.

Respectfully submitted

AMSTER, ROTHSTEIN & EBENSTEIN LLP

Attorneys for Applicant 90 Park Avenue New York, NY 10016 (212) 336-8000

Dated: New York, New York

May 8, 2007

Elie H. Gendloff

By:

Registration No.: 44,704

PTO/SB/08B (04-07) Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Re

Complete if Known Substitute for form 1449/PTO Application Number 10/501,628 INFORMATION DISCLOSURE **Filing Date** November 22, 2004 STATEMENT BY APPLICANT First Named Inventor Alberto Martin Art Unit 1636 (Use as many sheets as necessary) Examiner Name Kimberly A. Makar, Ph.D. Attorney Docket Number Sheet 1 96700/905 1 of

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|--------------------------|---|----|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | |
| | 1 | CUMBERS, S.J. et al., "Generation and iterative affinity maturation of antibodies in vitro using hypermutating B-cell lines"; Nature Biotechnology, 2002, Vol. 20, pp1129-34. | | | |
| | 2 | STORB, U. et al., "Somatic hypermutation of immunoglobulin and non-immuoglobulin genes"; Phil. Trans. R. Soc. Lond. B, 2001, Vol. 356, pp. 13-19 | | | |
| - | 3 | WANG, L. et al., "Evolution of new nonantibody proteins via iterative somatic hypermutation"; PNAS, 2004, Vol. 101, No. 48, pp. 16745-49. | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO). to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.